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PATENT APPLICATION

Docket No: 10265.96.1

PATENTS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Andy Swenson and James Wagstaff

Serial No.: 09/849,611

Filed: May 4, 2001

For: SUSTAINED RELEASE COMPOSITIONS FOR
ORALLY ADMINISTERED SUBSTANCES
AND METHODS

Art Unit

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.93:

- ☒ Form PTO-1449 list of thirty-four (34) references submitted for consideration.
- ☒ Legible copies of the listed references or their relevant portions.
- ☒ All English translations of each nonenglish reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

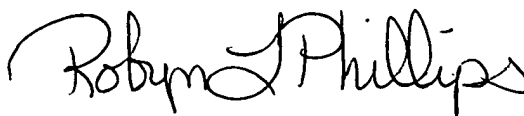
- ___ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- ___ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- ___ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- ___ Promptness Certification.
- ___ Check No. _____ in the amount of \$240.00 constituting submission fee under 37 C.F.R. 1.17(p);
- ___ Petition for Consideration and Check No. _____ in the amount of \$ _____.
- ___ In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 18th day of June, 2001.

Respectfully submitted,



Robyn L. Phillips
Attorney for Applicant
Registration No. 39,330



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PATENT TRADEMARK OFFICE



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CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on June 18th, 2001.

Robyn L Phillips

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Transmitted: Transmittal for Information
Disclosure Statement
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Form PTO-1449 Listing of All References
Legible Copies of All Listed References
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Enclosures

Docket: 10265.96.1

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